

**臺灣集中保管結算所股份有限公司**  
**資訊傳輸服務機構基本資料**

項目	內容
機構名稱 Company Name	Brown Brothers Harriman & Co.
機構代號 Company Abbreviation	BBH
公司註冊地 Location	140 Broadway, New York, NY 10005-1101
公司型態 Company Type	Limited Partnership
公司資本額 Company Capital	As of December 31 <sup>st</sup> , 2011 partnership capital totaled \$660 million supporting assets of \$6.1 billion.
公司簡介 Company Introduction	<p>BBH is a privately-held financial institution that has been a thought leader and solutions provider for nearly 200 years. The firm serves high-net-worth individuals and leading institutions in its five business lines: Corporate Banking, Investment Management, Investor Services, M&amp;A Advisory and Wealth Management. BBH's culture of accountability fosters deep and lasting relationships built on commitment, adaptability and trust. The company is independent, selective and specialized by design.</p> <p>BBH operates a global business with seventeen locations, including New York, Boston, Philadelphia, Charlotte, Chicago, Denver, Jersey City, Wilmington, London, Dublin, Luxembourg, Krakow, Zürich, Grand Cayman, Hong Kong, Beijing and Tokyo.</p>
關於提供資訊傳輸服務之簡介：包含在全球及台灣 Brief Introduction for Order Routing Service: Include Global and Taiwan	BBH is providing the order routing service in Europe and Hong Kong. In Taiwan, we are working with TDCC to provide the same service to the local distributor banks.
與基金公司的服務及聯繫 Service and Connection with Fund Houses	Please see separate Fund Coverage List.
與 TDCC 合約之損害賠償責任 Indemnifications	The absolute liability arising at any time under TDCC Agreement of the breaching Party to the other Party shall not exceed in the aggregate NTD7,500,000 over any twelve month period.
系統名稱	Infomediary®

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Routing System Name	
與 TDCC 連線方式 Connection Type with TDCC	<input type="checkbox"/> 網際網路 Internet <input checked="" type="checkbox"/> 專線 Leased Line
與 TDCC 連線線路 No. of Lines with TDCC	與 TDCC 正中心連線之線路： <input type="checkbox"/> 1 條 <input type="checkbox"/> 2 條 Connections with TDCC Normal Center: <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2 與 TDCC 備援中心連線之線路： <input type="checkbox"/> 無 <input type="checkbox"/> 1 條 <input type="checkbox"/> 2 條 Connections with TDCC backup Center: <input type="checkbox"/> 0 <input checked="" type="checkbox"/> 1 <input type="checkbox"/> 2
系統備援設施 Backup Facility	<input type="checkbox"/> 無 None <input type="checkbox"/> 同地備援 Onsite backup <input checked="" type="checkbox"/> 異地備援，系統切換所需時間： <u>Philadelphia, PA USA</u> 。 Different location backup, time needed to switch to backup system: 其他說明： <u>Please see below</u> 。 Other information: BBH's major data processing facilities are located in our New Jersey offices. We have contracted with SunGard Security Systems for space and equipment at their Philadelphia MegaCenter® in the event of total computer failure. In addition to the necessary hardware configurations, this facility includes significant user recovery space, a shell facility (for long term recovery), and a network recovery facility. While no plan can insure uninterrupted service, BBH is positioned well to continue to provide a high-level of client service during an interruption. BBH anticipates recovery of critical communications within 2 hours.
測試作業服務 Test Facility	提供業者可獨立使用之測試環境 Independent Test Environment Provided for Distributors <input type="checkbox"/> <input checked="" type="checkbox"/> 是 Yes <input type="checkbox"/> 否 No 提供之測試方式為 Test method <input type="checkbox"/> 台灣業者至 TDCC 至傳輸機構之測試 From Distributor via TDCC to Service Provider

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	<p><input type="checkbox"/> 台灣業者至 TDCC 至傳輸機構至基金公司之測試</p> <p><input checked="" type="checkbox"/> From Distributor via TDCC &amp; Service Provider to Fund House</p>
<p>提供中文的即時服務窗口                  Real time Customer Service                  in Chinese</p>	<p><input checked="" type="checkbox"/> 業務面 Business</p> <p>服務時間 Service Time : 24 hours, 7 days a week                  TW time 臺灣時間 From _____ to _____。</p> <p>服務地點 Location : Support Hotline                  服務電話 Tel : 0-800-666-267</p> <p>Email: Infomediary.support@bbh.com                  服務人員 CS name : Infomediary Client Service Team</p> <p><input checked="" type="checkbox"/> 資訊面 IT</p> <p>服務時間 Service Time : 24 hours, 7 days a week                  TW time 臺灣時間 From _____ to _____。</p> <p>服務地點 Location : Hong Kong                  服務電話 Tel : : 0-800-666-267</p> <p>Email: Infomediary.support@bbh.com                  服務人員 CS name : Infomediary Client Service Team</p>

Name of Service Provider 資訊傳輸服務機構名稱 : **Brown Brothers  
 Harriman & Co.**

Contact Person 聯絡人: **Lorrie Gordon**

Title 職稱: **Managing Director**

Date 日期: **October 25, 2012**

