

# 臺灣集中保管結算所股份有限公司

## 資訊傳輸服務機構基本資料

項目 Items	內容 Content
機構名稱 Organization Name	Calastone Limited
機構代號 Organization Code	Calastone
公司註冊地 Place of registration of the company	England & Wales
公司型態 Company Type	Limited Company
公司資本額 Company Registered Capital Amount	1,017,500 Ordinary shares with a nominal value of £0.0001 each and 750,054 A Ordinary shares with a nominal value of £0.0001 each
公司簡介 Company brief profile	Calastone enables buyers and sellers of mutual funds to communicate orders electronically by providing a universal message communication and 'translation' service.
關於提供資訊傳輸服務之簡介：包含在全球及台灣 Brief description about the information transfer services provided: including globally and Taiwan	Calastone limited is a privately held company established in the United Kingdom in May 2007. Calastone is authorised and regulated in the United Kingdom by the Financial Services Authority (FSA). Calastone enables buyers and sellers of investment funds to communicate orders electronically by providing a universal message communication and 'translation' service through a global order routing

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	<p>hub.</p> <p>With a single connection to Calastone clients can send orders in any format and over any communication network they choose. By means of configurable adaptors, we are able to translate any client message into the format required by the entity they would like to communicate with. Neither the transmitter nor the receiver needs to purchase additional technology or change their existing systems.</p> <p>Calastone also provides clients with a web-enabled Execution Management System (EMS) screen. The EMS shows the current status of the counterparties they are connected to, the status of their orders throughout their entire life cycle and the status of any exceptions. At any given moment, a fund distributor, fund manager or their transfer agent can see the status of all their transactions.</p> <p>Calastone Geographic reach – clients &amp; regions</p> <p>Calastone currently services clients in Europe, Asia and Australia. The Calastone Transaction Network is connected electronically to Global Fund Managers (directly or via their respective transfer agent) and to</p>
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	<p>Fund Distributors (order-givers) globally.</p> <p>We have been actively processing fund orders from Taiwanese based distributors for over 18 months. We currently support several global fund managers in automating trades generated by 3 Taiwanese based banks over the SWIFT Network.</p>																			
<p>與基金公司的服務及 聯繫 The services and connection with fund companies</p>	<table border="1"> <tr> <td data-bbox="594 766 1240 835">Number of fund houses contracted</td> <td data-bbox="1240 766 1364 835">151</td> </tr> <tr> <td data-bbox="594 835 1240 961">Number of transfer agent (TA) electronic connections</td> <td data-bbox="1240 835 1364 961">41</td> </tr> <tr> <td colspan="2" data-bbox="594 961 1364 1031">Main fund domiciles covered:</td> </tr> <tr> <td data-bbox="594 1031 1240 1100">- Luxembourg</td> <td data-bbox="1240 1031 1364 1100">LU</td> </tr> <tr> <td data-bbox="594 1100 1240 1169">- Ireland</td> <td data-bbox="1240 1100 1364 1169">IE</td> </tr> <tr> <td data-bbox="594 1169 1240 1239">- United Kingdom</td> <td data-bbox="1240 1169 1364 1239">GB</td> </tr> <tr> <td data-bbox="594 1239 1240 1308">- Australia</td> <td data-bbox="1240 1239 1364 1308">AU</td> </tr> <tr> <td data-bbox="594 1308 1240 1377">- Singapore</td> <td data-bbox="1240 1308 1364 1377">SG</td> </tr> <tr> <td data-bbox="594 1377 1240 1467">- Switzerland</td> <td data-bbox="1240 1377 1364 1467">CH</td> </tr> </table>		Number of fund houses contracted	151	Number of transfer agent (TA) electronic connections	41	Main fund domiciles covered:		- Luxembourg	LU	- Ireland	IE	- United Kingdom	GB	- Australia	AU	- Singapore	SG	- Switzerland	CH
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<p>與 TDCC 合約之損害賠償責任 Indemnifications</p>	<p>違約之一方對於他方之損害賠償責任，或資訊傳輸服務機構對於使用機構之損害賠償責任，以每一請求新台幣貳仟伍佰萬元為上限，但資訊服務傳輸機構與使用機構另有約定者，不在此限。</p> <p>Unless otherwise agreed by Service Provider and TDCC Users, the liability of the breaching Party to the other Party or the liability of Service Provider to</p>																			

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	<p style="color: red;">the TDCC Users is limited to a cap of the amount of NTD 25,000,000 per claim.</p>
系統名稱 System Name	Calastone Transaction Network (CTN)
與 TDCC 連線方式 The connection method with TDCC	<input checked="" type="checkbox"/> 網際網路 Net Connection <input type="checkbox"/> 專線 Lease Line
與 TDCC 連線線路 Lines connected with TDCC	<p>與 TDCC 正中心連線之線路： <input type="checkbox"/> 1 條 <input checked="" type="checkbox"/> 2 條</p> <p>Lines connected with TDCC official center: <input type="checkbox"/> 1 lines <input checked="" type="checkbox"/> 2 lines</p> <p>與 TDCC 備援中心連線之線路： <input type="checkbox"/> 無 <input checked="" type="checkbox"/> 1 條 <input type="checkbox"/> 2 條</p> <p>Lines connected with TDCC back-up center: <input type="checkbox"/> nil <input type="checkbox"/> 1 lines <input type="checkbox"/> 2 lines</p>
系統備援設施 System back-up	<p><input type="checkbox"/> 無 Nil</p> <p><input checked="" type="checkbox"/> 同地備援 Same Place Back-up</p> <p><input checked="" type="checkbox"/> 異地備援，系統切換所需時間：_____。</p> <p>Different places Back-up, time needs for switching the systems:_____。</p> <p>其他說明：_____。</p> <p>Other remarks: We have both onsite and offsite backup. Our System backup are onsite and restore time will be quick subject to volume of data.</p> <p>We also have the Disaster Recovery site which is always in sync with Production system hence failover to DR site will eliminate the time required</p>

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	<p>or requirement for restore. We backup onto SAN which is replicated offsite</p> <p>Within production we also have primary and passive servers so can switch servers if the need arrives.</p> <p>。</p>
<p>測試作業服務</p> <p>Operation test run services</p>	<p>提供業者可獨立使用之測試環境</p> <p>Provides environment in which the participating parties can do the test run independently</p> <p><input type="checkbox"/> 是 <input type="checkbox"/> 否</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>提供之測試方式為</p> <p>Test run Methods</p> <p><input type="checkbox"/> 台灣業者至 TDCC 至傳輸機構之測試</p> <p><input checked="" type="checkbox"/> Test run from Taiwan participating parties to TDCC to Information Transfer Institute</p> <p><input type="checkbox"/> 台灣業者至 TDCC 至傳輸機構至基金公司之測試</p> <p><input checked="" type="checkbox"/> Test run from Taiwan participating parties to TDCC to Information Transfer Institute to Fund Companies</p>

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<p>提供中文即時之服務 窗口 Provide immediate Chinese Service Window</p>	<p><input type="checkbox"/> 業務面 Business Aspect 服務時間：臺灣時間 _____ 至 _____。 Service Time : Taiwan Time: From 9am To 6pm。 服務地點： Service Place: Hong Kong 服務電話： Service Phone Number: +852 2805 1051 服務人員： Service Person Name: Leo Chen</p> <p><input type="checkbox"/> 資訊面 Information Aspect 服務時間：臺灣時間 _____ 至 _____。 Service Time : Taiwan Time: From: 6am To 4am。 服務地點： Service Place: London 服務電話： Service Phone Number 服務人員： + 44 207 367 5940 , <a href="mailto:operations@calastone.com">operations@calastone.com</a> Service Phone Number</p>
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**Name of Service Provider 資訊傳輸服務機構名稱：**

Representative 代表人：

Title 職稱：

Date 日期：