

臺灣集中保管結算所股份有限公司

資訊傳輸服務機構基本資料

| 項目 | 內容 |
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| 機構名稱 Company Name | Euroclear Bank |
| 機構代號 Company Abbreviation | Euroclear |
| 公司註冊地 Location | Euroclear Bank SA/NV is incorporated in Belgium and registered in the RPM Brussels (Company n° 0429 875 591) with registered address at 1 Boulevard du Roi Albert II, 1210 Brussels, Belgium. |
| 公司型態 Company Type | User Owned & User Governed market infrastructure |
| 公司資本額 Company Capital | EUR 1.711 Billion |
| 公司簡介 Company Introduction | <p>Euroclear Bank is the world's largest provider of settlement and related securities services for cross-border transactions involving domestic and international bonds, equities, derivatives and investment funds. Euroclear Bank is strictly risk averse. With AA+ and AA ratings by Fitch Ratings and Standard & Poor's respectively, Euroclear Bank has ranked among the highest rated companies within the financial industry for over a decade. As of Q1 2012, Euroclear group has EUR 22.5 Trillion assets under custody and in 2011 the turnover (i.e. value of securities transactions settled) of Euroclear group reached EUR 580 trillion.</p> <p>In particular to investment funds, the number of fund transactions processed by Euroclear FundSettle & Euroclear EMX systems reached 54 million in 2011. The value of funds held for clients in 2011 reached EUR 300 billion. FundSettle now covers more than 66,000 offshore and domestic funds from 26 countries.</p> |
| 關於提供資訊傳輸服務之簡介：包含在全球及台灣 Brief Introduction for OrderRoutingService: Include Global and Taiwan | <p>Euroclear has over 10 years of experience in the funds business with over 1,500 funds professionals worldwide currently using the FundSettle platform. In 2011 Euroclear has processed over 50 million fund orders and the current fund asset under custody is more than EUR 300 billion.</p> <p>Regarding this TDCC offshore fund order routing service, Euroclear offers</p> |

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| | <p>you the following unique benefits:</p> <ol style="list-style-type: none"> 1. Euroclear does not include a specific contractual cap on our potential liability. 2. Euroclear is the only provider that offers you a choice between order routing only, or order routing and settlement service. 3. You can quickly check your order statuses and transaction statements via our web-based FundSettle browser 4. You can contact our mandarin fund client team with our toll-free number 5. You will have free access to our funds database, which giving you detailed operational information for over 66,000 funds. 6. Our dedicated teams in Hong Kong and Europe will work closely with offshore fund houses aiming at increasing the fund house coverage for you |
| 與基金公司的服務及聯繫 Service and Connection with Fund Houses | <ul style="list-style-type: none"> - FundSettle already covers over 66,000 funds with over 700 transfer agents - Mainly SWIFT connection with transfer agents. - Agent network teams located in Hong Kong and Europe, who are responsible for the relationship with fund houses. |
| 與 TDCC 合約之損害賠償責任 Indemnifications | Euroclear does not include a specific contractual cap on our potential liability. |
| 系統名稱 Routing System Name | FundSettle |
| 與 TDCC 連線方式 Connection Type with TDCC | <input type="checkbox"/> 網際網路 Internet x 專線 Leased Line |
| 與 TDCC 連線線路 No. of Lines with TDCC | <p>與 TDCC 正中心連線之線路：x1 條 <input type="checkbox"/> 2 條</p> <p>Connections with TDCC Normal Center: x 1 <input type="checkbox"/> 2</p> <p>與 TDCC 備援中心連線之線路：<input type="checkbox"/> 無 x 1 條 <input type="checkbox"/> 2 條</p> <p>Connections with TDCC backup Center: <input type="checkbox"/> 0 x 1 <input type="checkbox"/> 2</p> |

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| <p>系統備援設施 Backup Facility</p> | <p><input type="checkbox"/> 無 None</p> <p><input type="checkbox"/> 同地備援 Onsite backup</p> <p>x 異地備援，系統切換所需時間：<u>Instantly</u>。</p> <p>Different location backup, time needed to switch to backup system:</p> <p>其他說明：<u>Euroclear has three data centers</u>。</p> <p>Other information:</p> |
| <p>測試作業服務 Test Facility</p> | <p>提供業者可獨立使用之測試環境</p> <p>Independent Test Environment Provided for Distributors</p> <p>x 是 Yes <input type="checkbox"/> 否 No</p> <p>提供之測試方式為</p> <p>Test method</p> <p>x 台灣業者至 TDCC 至傳輸機構之測試</p> <p>From Distributor via TDCC to Service Provider</p> <p>x 台灣業者至 TDCC 至傳輸機構至基金公司之測試</p> <p>x From Distributor via TDCC & Service Provider to Fund House</p> |
| <p>提供中文的即時服務窗口 Real time Customer Service in Chinese</p> | <p><input type="checkbox"/> 業務面 Business 1</p> <p>服務時間 Service Time :</p> <p>TW time 臺灣時間 From <u>8:30am</u> to <u>7pm</u>。</p> <p>服務地點 Location : Hong Kong / Taiwan</p> <p>服務電話 Tel : 00801 85 6653</p> <p>Email: client_service_hongkong@euroclear.com</p> <p>服務人員 CS name : FundSettle client service team</p> <p><input type="checkbox"/> 業務面 Business 2</p> <p>服務時間 Service Time :</p> <p>TW time 臺灣時間 From <u>9am</u> to <u>6pm</u>。</p> |

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| | <p>服務地點 Location : Hong Kong / Taiwan 服務電話 Tel : +852 3966 5507 Email: axl.choi@euroclear.com 服務人員 CS name : Axl Choi</p> <p><input type="checkbox"/> 資訊面 IT 服務時間 Service Time : TW time 臺灣時間 From <u>9am</u> to <u>12 am (night)</u> 。 服務地點 Location : Hong Kong / Brussels 服務電話 Tel : +852 3966 5610 Email: communications.apac@euroclear.com fundsettle_communications@euroclear.com 服務人員 CS name : Zeta Chan / Richard Parslow</p> |
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Name of Service Provider 資訊傳輸服務機構名稱 : Euroclear Bank

Contact Person 聯絡人 : Axl Choi

Title 職稱 : Country Manager - Taiwan

Date 日期 : 18 October 2012