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Chairman & CEO Mr. Sherman Lin (2nd from left) participated in Global Views magazine 30th anniversary: Future Insight International Forum on August 2, 2016.



Lisa Robins, Managing Director of Deutsche Bank AG, Singapore, led delegates visited TDCC on September 2, 2016.

E-Voting Lucky Draw Activity Achieved a Perfect Ending “Stockvote” Reached Nearly a Million Votes

In order to encourage investors to attend shareholders' meeting through e-voting, TDCC has been expanding e-voting lucky draw activities this year. It is estimated that there were over 980,000 votes from investors exercising voting right through e-voting by 2016, reaching a record high in terms of voting number, company number, and the percentage of e-voting at shareholder's meeting. In addition, what's worth mentioning is that over 360,000 votes from investors were conducted through “Stockvote App” on mobile devices, meaning mobile technology has become an important channel for investors to exercise their voting power.

To promote corporate governance and maintain shareholders' equities, the authority, Financial Supervisory Commission (FSC), has actively promoted the policy for listed companies at both stock exchange and over-the-counter markets to adopt e-voting at shareholders' meetings. This year, mandatory e-voting policy has been more widely imposed; companies at stock exchange and over-the-counter with paid in capital over 2 billion and the number of shareholders over 10,000 are required to include e-voting as one of the means for shareholders to exercise their voting power. This year, 605 companies adopt the e-voting mechanism, and that amount accounts for 85% of the whole market value.

As Chairman & CEO Mr. Lin pointed out, the exercise of voting right through e-voting this year constitutes over 45% of the shareholders' meeting attendance ratio. E-voting has already been accepted by and familiar to investors, creating a win-win situation for both companies and shareholders.

Chairman & CEO Mr. Lin further stated that in response to the growing popularity of mobile devices, TDCC, keeping itself abreast of the times as always, has been actively employing state-of-the-art digital technology to integrate both brick-and-mortar and online channels so as to offer more convenient, efficient, and innovative services to users. “Stockvote” is just a beginning, and following that TDCC will launch the app “Mobile Securities Passbook” to proactively provide investors with more real-time transaction data for a better understanding of their asset updates. In the future, the two major Apps “Stockvote” and “Mobile Passbook” will be integrated, and therefore e-voting, important announcements, and stock affair related major notifications will be incorporated. Services for stockholders' equities will be more easily accessible and digitalized, and in turn creates more added values for this market and build up a symbiotic ecosphere for digital services.

Inviting innovative ideas, TDCC hosted a panel discussion with a focus on FinTech

A panel discussion in “FinTech development and inspiring objectives of the Capital Market services” was taken place in the Sungreat International Convention Hall and Li-Chih Learning Center of National Taiwan University College of Management on August 22, 2016, TDCC invited all participants to talk about how new technologies and innovative concepts can be better implemented, what the obstacles ahead, how creativities and personnel should be further cultivated, and how the future market segments can be broadened.

The honorable panelists included Mr. Yang Ren-Dah, Chairman of Taiwan Innovative DigiTech-Enabled Applications & Services Institute (IDEAS), Mr. Ho Ming-Hong, Chairman of Yuanta Securities, Professor Hu Shing-Yang, Associate Dean, National Taiwan University College of Management.

Mr. Sherman Lin, Chairman & CEO of TDCC, emphasized the unprecedented challenges and perspectives this new trend of FinTech has brought upon us in his address. “All the existing management models, concept developments, market differentiation strategies will be put to test.” Chairman Lin continued, “In order to face these issues head on, we must make the best of Big Data, take full advantages of cross platform co-operations, and encourage unprohibited innovative approaches, so that

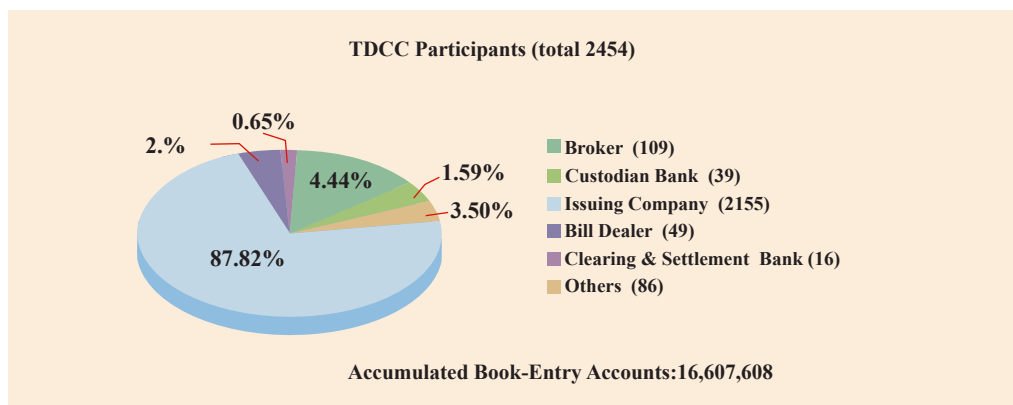
some executable measures can be advised.” Lin also introduced all the latest FinTech services that were made available to the market by TDCC, such as the Automatic Smart Fund Platform of FundRich Securities, The Mobile Securities passbook of TDCC featuring the new digitized mobile finance data portal, the Anti-money laundering black lists incorporating the cloud computing, and the in depth informative collaborations in the possible applications of Big Data among all the interested parties presented; TDCC exhibited both in ambition and vision of being the leader in our FinTech development.

The FSC Taiwan has been aggressively building a digitized finance climate since 2014 in full spectrum of ways. Some pertinent policies include providing assistance needed for the finance service sector to induce all necessary fresh perspectives in expending the industry, aggrandizing the coverage of on-line financing services, popularizing the e-pay penetration, elevating the availabilities of e-pay channels, broadening Big Data analyses and applications, promoting establishment of FinTech centers within the entities, and instituting a FinTech authority.

Four primary approaches were unanimously recognized with priority in the discussion. They are Applications, Administrations,

Resources and Infrastructures. For the applications, the FinTech integrations should be encouraged for all banks, securities, insurance and e-pay platforms. For the administrations, the advancements should be supported in terms of loosening the rigid regulations and tightening the risk managements. As for the resources, the allocation for a FinTech development funds is in demand to tend the needs for innovations and educations. Last, not the least, more Blockchain infrastructure utilizations should be implemented as well as the identity verification algorithms.

All participants also agreed on the importance and the course of FinTech development. In addition, suggestions were made in various aspects such as Big Data implementations in Taiwanese Capital Market, digitization of the process, reinvention of the outdated infrastructures, and inspiration for innovations; so that a fully digitized finance climate can be steadily actualized in Taiwan.



The Shares and Market Capitalization under TDCC custody (Million Shares/ Billion NT)

Item Year Month	Physical securities under custody		Dematerialized securities under custody			Total	
	Shares	Market Capitalization	Shares(A)	Ratio (A/B)%	Market Capitalization	Shares(B)	Market Capitalization
2015 Oct	36,462	368.60	1,089,463	90.46	30,945.98	1,125,925	31,314.58
2015 Nov	36,453	368.51	1,089,372	90.46	30,598.19	1,125,826	30,966.71
2015 Dec	36,405	368.03	1,083,941	90.36	30,812.00	1,120,347	31,180.40
2016 Jan	36,443	368.36	1,086,876	90.38	30,265.00	1,123,320	30,634.01
2016 Feb	36,459	368.52	1,079,621	90.31	31,185.55	1,116,080	31,554.06
2016 Mar	36,516	369.08	1,075,793	90.28	32,304.08	1,112,309	32,673.16
2016 Apr	36,474	368.67	1,083,956	90.35	30,583.76	1,120,431	30,952.43
2016 May	36,460	368.53	1,084,106	90.35	31,813.98	1,120,565	32,183.50
2016 Jun	36,499	368.91	1,079,782	90.31	32,208.45	1,116,281	32,577.36
2016 Jul	36,468	368.61	1,080,701	90.32	33,183.19	1,117,168	33,557.80
2016 Aug	36,464	368.56	1,090,006	90.38	33,461.65	1,126,470	33,830.21
2016 Sep	36,452	368.45	1,090,291	90.38	33,773.96	1,126,743	34,142.41

TDCC still has 7.16 million pieces of stocks which have been de-listed from the TWSE or TPEX markets.
The outstanding of such physical stocks equals to 9.62% of all TDCC depository shares.

Statistics of Listed Companies

Item Year Month	TWSE				TPEX			
	NO.	Capital Issued (Billion NT)	Par Value (Billion NT)	Market Value (Billion NT)	NO.	Capital Issued (Billion NT)	Par Value (Billion NT)	Market Value (Billion NT)
2015 Oct	869	6,941.14	6,833.44	25,013,804	700	701.49	672.92	2,556.08
2015 Nov	871	6,952.32	6,839.65	24,387,843	703	702.88	674.68	2,675.32
2015 Dec	874	6,950.90	6,849.29	24,503,635	712	706.18	677.68	2,730.82
2016 Jan	876	6,952.68	6,855.88	23,963,955	718	710.63	682.41	2,615.12
2016 Feb	876	6,950.79	6,864.77	24,757,955	718	710.76	682.32	2,718.25
2016 Mar	877	6,948.96	6,857.07	25,727,237	722	711.58	683.17	2,795.91
2016 Apr	877	6,948.34	6,858.77	24,628,60	721	712.10	683.21	2,691.05
2016 May	881	6,957.21	6,877.44	25,141.48	720	708.22	679.95	2,787.84
2016 Jun	881	6,958.33	6,878.34	25,528.42	721	704.90	677.24	2,777.64
2016 Jul	881	6,968.64	6,876.06	26,475.93	723	709.04	680.62	2,778.74
2016 Aug	880	7,018.22	6,885.73	26,736.94	724	711.24	680.77	2,872.28
2016 Sep	883	7,034.77	6,926.88	27,045.74	729	717.12	681.58	2,921.09

Statistics of Bills Clearing & Settlement

Million NT

Item Year Month	Primary Market		Secondary Market				Total
	Underwriting/First	Redemption	Buy/Sell	Repo-Open	Repo-Close	Subtotal	
2015 Oct	917,932	906,755	1,701,420	1,541,802	1,531,228	4,774,450	6,599,137
2015 Nov	893,991	893,283	1,535,592	1,486,278	1,477,418	4,499,288	6,286,562
2015 Dec	1,011,063	1,006,832	1,734,390	1,667,498	1,565,354	4,967,242	6,985,137
2016 Jan	1,007,471	908,253	1,734,182	1,778,450	1,800,742	5,313,374	7,229,098
2016 Feb	772,374	780,762	1,420,246	1,098,442	1,146,212	3,664,900	5,218,036
2016 Mar	1,108,541	1,078,842	2,043,410	1,595,650	1,611,564	5,250,624	7,438,007
2016 Apr	989,656	955,402	1,768,184	1,395,494	1,394,810	4,558,488	6,503,546
2016 May	1,037,412	1,020,866	1,772,998	1,433,346	1,425,120	4,631,464	6,689,742
2016 Jun	960,006	941,849	1,691,484	1,461,792	1,443,948	4,597,224	6,499,079
2016 Jul	1,067,972	1,027,016	1,925,006	1,470,942	1,524,174	4,920,122	7,015,110
2016 Aug	1,103,255	1,099,629	1,983,576	1,482,560	1,531,876	4,998,012	7,200,896
2016 Sep	1,004,038	1,022,747	1,762,858	1,534,490	1,497,594	4,794,942	6,821,727

Par Value of Bills and Bonds Under Central Depository

Million NT

Item Year Month	Bonds	Commercial Paper I	Commercial Paper II	Negotiable Certificate of Deposit	Bank Acceptance	Asset Backed Commercial Paper	Municipal bond	Total
2015 Oct	3,430,520	150	1,375,915	91,089	967	0	0	4,898,641
2015 Nov	3,412,672	133	1,363,182	104,729	785	0	0	4,881,501
2015 Dec	3,353,067	156	1,346,611	125,573	720	0	0	4,826,667
2016 Jan	3,366,078	156	1,357,367	126,172	669	0	0	4,850,442
2016 Feb	3,190,938	134	1,437,371	125,913	470	0	0	4,754,826
2016 Mar	3,178,249	151	1,490,182	103,063	188	0	0	4,771,833
2016 Apr	3,190,886	128	1,509,781	117,853	79	0	0	4,818,727
2016 May	3,193,131	185	1,501,464	142,689	48	0	0	4,837,517
2016 Jun	3,190,122	158	1,497,721	164,660	7	0	0	4,852,668
2016 Jul	3,170,095	162	1,525,069	220,650	10	0	0	4,915,986
2016 Aug	3,158,243	137	1,584,890	167,323	57	0	0	1,752,407
2016 Sep	3,173,178	142	1,549,554	172,641	58	0	0	1,722,395

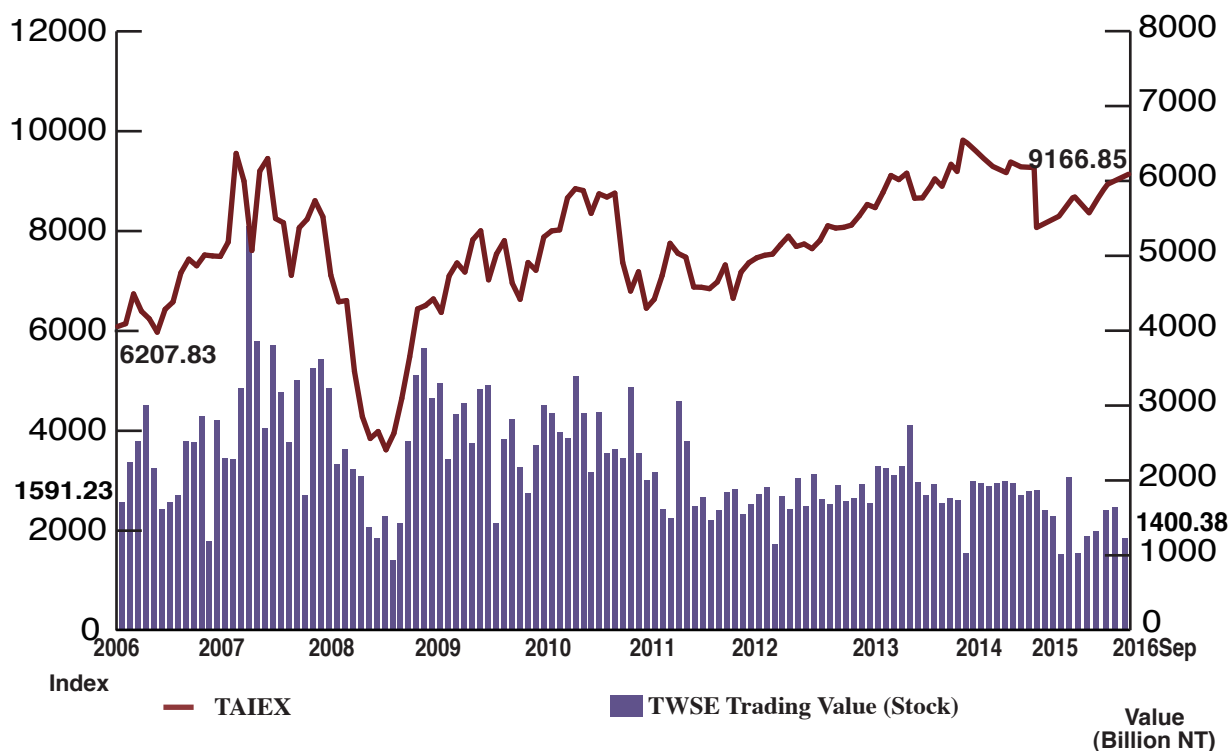
Note: Starting July 2008, the value of bonds are changed from par value to principal balance.

Statistics of Book-Entry Operations

1,000 Shares

Item Year Month	TWSE		TPEX		Emerging Stocks
	Total Trading Volume	Average Daily Trading Volume	Total Trading Volume	Average Daily Trading Volume	Trading Volume
2015 Oct	95,156,927	4,531,282	22,594,770	1,075,941	366,133
2015 Nov	96,008,721	4,571,844	23,706,649	1,128,888	614,789
2015 Dec	92,744,184	4,032,356	23,542,073	1,023,568	795,034
2016 Jan	92,806,817	4,419,372	18,946,939	902,235	536,297
2016 Feb	54,266,042	4,174,311	12,144,617	934,201	298,458
2016 Mar	109,367,054	4,755,089	25,086,846	1,090,732	613,895
2016 Apr	74,212,424	3,905,917	18,414,344	969,176	460,413
2016 May	79,328,927	3,777,567	18,468,058	879,431	455,221
2016 Jun	77,338,560	3,682,788	17,651,263	840,536	473,390
2016 Jul	87,201,006	4,360,050	20,138,016	1,006,900	443,497
2016 Aug	92,657,115	4,028,570	24,270,420	1,055,235	615,051
2016 Sep	70,331,727	3,701,669	18,671,984	982,736	474,958

TWSE Index & Trading Value



TPEX Index & Trading Value

